John T. McGuire
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April 24, 2025

VIA the FINRA Portal

Mr. Peter Ordower
FINRA Midwest Regional Office
55 West Monroe Street
Suite 2600
Chicago, IL 60603

Re*: Jordan Tepper Weiner and Terri P. Tepper v. Oppenheimer & Co., Inc., FINRA#24-02286*

Dear Mr. Ordower,

In preparation for the upcoming pre-conference hearing, Respondent Oppenheimer & Co., Inc., ("Oppenheimer" or "Respondent"), through its undersigned counsel, wanted to preliminarily address the concerns highlighted in your recent order dated April 23, 2025.

Claimant should not be allowed to seek more than their original stated claim of $38,066.12. Claimant's endeavors to repeatedly change their alleged damages shows their attempt to game the system. As such, this matter would be able to proceed under the FINRA Rules for simplified arbitrations.

Respondent has fully complied with all discovery rules and orders to the fullest extent possible.

Respondent sent a request via the FINRA Portal on April 1, 2025 for certain email communications and an internal memo be restricted as confidential. This request and the documents are accessible for all parties on the portal. Upon a decision for that request, Respondent will provide that outstanding request to Claimant in the same manner it has provided all previous productions.

Respondent should be permitted to seek expungement for the Financial Advisor directly involved in this matter, as there is a direct correlation between the matter at hand and this request for expungement.

These issues should not prevent all parties from moving forward with the previously scheduled hearing on May 7, 2025. As such, Respondent requests that this date remain in place.

Sincerely,

/s/ *John T. McGuire*

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John T. McGuire, Esq.

cc: Jordan Weiner jordan@internetconsultinginc.com (Via Portal)
Terresa Byrd terresa.byrd@finra.org (Via Portal)