**John T. McGuire**
Deputy General Counsel
Office of the General Counsel

**Oppenheimer & Co. Inc.**
85 Broad Street, 25th Floor
New York, NY 10004

(212) 668-5036
John.McGuire@opco.com

Member of All Principal Exchanges

April 22, 2025

**Via FINRA Portal**

Ms. Terresa Byrd
FINRA Dispute Resolution Services
101 N. Wacker Drive
Suite 2200
Chicago, Illinois 60606

Re: FINRA No. 24-02286
Jordan Tepper Weiner & Terri P. Tepper v. Oppenheimer & Co., Inc.

Dear Ms. Byrd:

In reference to the above captioned matter, on April 2, 2025, Respondent sent a letter via the FINRA Portal informing you of the Arbitrator, Mr. Ordower's Order in which the status of Jordan Weiner as a Claimant was discussed. Since then, Claimant filed a motion seeking Mr. Weiner be reinstated as a Claimant. Respondent filed a motion in opposition to this. On April 10, 2025, Mr. Ordower sent another Order via the Portal stating that "Terri Tepper is the sole current beneficiary of the accounts." As such, Mr. Ordower denied Claimant's motion and granted Respondent's motion. Please see the attached motions filed and Order enclosed in this letter. Given these facts, undersigned counsel would like to respectfully request that FINRA change the case caption from "Jordan Tepper Weiner & Terri P. Tepper v. Oppenheimer & Co., Inc." to "Terri P. Tepper v. Oppenheimer & Co., Inc." Thank you.

Sincerely,

/s/ *John T. McGuire*

John T. McGuire
Counsel for Respondent

Enclosures
cc: Jordan Weiner (jordan@internetconsultinginc.com) (Via Portal)

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April 2, 2025

**Via Portal**

Ms. Terresa Byrd
FINRA Dispute Resolution Services
101 N. Wacker Drive
Suite 2200
Chicago, Illinois 60606

Re: FINRA No. 24-02286
Jordan Tepper Weiner & Terri P. Tepper v. Oppenheimer & Co., Inc.

Dear Ms. Byrd:

In reference to the above captioned matter, on March 27, 2025, Arbitrator Mr. Ordower sent an Order via the FINRA Portal stating that Jordan Weiner agreed he was not a proper complainant, and intended to proceed as a party representative for Claimant Terri P. Tepper. Please see the attached Order enclosed in this letter. As a result of this Order, undersigned counsel would like to respectfully request that FINRA change the case caption from "Jordan Tepper Weiner & Terri P. Tepper v. Oppenheimer & Co., Inc." to "Terri P. Tepper v. Oppenheimer & Co., Inc."

Sincerely,

/s/ *John T. McGuire*

John T. McGuire
Counsel for Respondent

Enclosure
cc: Jordan Weiner (jordan@internetconsultinginc.com) (Via Portal)

**BEFORE THE**
**FINANCIAL INDUSTRY REGULATORY AUTHORITY**
**("FINRA")**

----------X

JORDAN TEPPER WEINER
AND TERRI P. TEPPER **FINRA Case No. 24-02286**

*Claimant,*

-against -

OPPENHEIMER & CO., INC.

*Respondent.*

----------X

**RESPONDENT OPPENHEIMER & CO., INC.'S MOTION IN OPPOSITION TO CLAIMANT'S MOTION FOR JORDAN WEINER TO BE RECOGNIZED AS A CLAIMANT**

Oppenheimer & Co. Inc. ("Oppenheimer") by its undersigned counsel hereby makes this motion in opposition to Claimants' "Motion for Jordan Weiner to be Recognized as a Claimant," which was filed via the FINRA Portal on March 28, 2025. Ms. Terri Tepper is the only primary beneficiary listed on Mr. Lawrence Weiner's three accounts at issue in this matter. Jordan Weiner is listed as the contingent to the accounts if Ms. Tepper passed before Mr. Lawrence Weiner. *See* the IRA Designation of Beneficiary Forms for Accounts G87-XXXX395, G87-XXXX969, and G87-XXXX977 attached hereto as Exhibit A. Mr. Lawrence Weiner predeceased Ms. Tepper, making her the sole primary beneficiary on these accounts. Therefore, Jordan Weiner should not be a Claimant in this matter.

Wherefore, for the reasons stated above, Oppenheimer requests that Mr. Ordower deny Claimants' Motion, and that FINRA formally change the caption of this proceeding from "Jordan Tepper Weiner and Terri P. Tepper v. Oppenheimer & Co., Inc.," to "Terri P. Tepper v. Oppenheimer & Co., Inc."

Dated: March 31, 2025

New York, NY

/s/ *John T. McGuire*

John T. McGuire
Counsel for Respondent
Oppenheimer & Co. Inc.
85 Broad Street
New York, NY 10004
(212) 668-5036
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# ORDER

**Submitted By:** Peter Ordower (On behalf of the arbitration panel)
**Submitted Date:** 04/10/2025 05:11:43 PM ET

**Case ID & Parties:**
***FINRA Dispute Resolution Services***
ORDER

**Case Number:** 24-02286

**In the Matter of the Arbitration Between**

| Claimant(s) | VS | Respondent(s) |
|---|---|---|
| Jordan T Weiner | | Oppenheimer & Co., Inc. |
| Terri P Tepper | | |

## PREHEARING CONFERENCE

1. Was a prehearing conference held in the above captioned matter?
- ○ Yes
- ● **No**

## ISSUES ADDRESSED

2. Issues addressed: (i.e., name of motion or request, by which party)
- ● **The following submissions have been addressed:**

***Claimant's Motion to Recognize Jordan Weiner as a Claimant and Respondent's Motion to Strike Jordan Weiner as a Claimant***

## ORDER DECIDED BY

3. Decided by:
- ● **Chairperson**
- ○ Panel

## RULINGS

4. Rulings:
- ● **After considering the parties' submissions (and oral arguments, if prehearing conference held), the Panel/Chairperson rules as follows:**

***Based on Respondent's submission of account documents, Terri Tepper is the sole current beneficiary of the accounts. Respondent's motion is therefore granted and claimants motion is denied.***

5. Order compliance date:
- ○ The parties should comply with this order by _____
- ● **Not applicable**

**ASSESSMENT OF FEES**

6. Cost of prehearing conference:
- ● **If the parties settle this matter with no further hearings, the forum fees for this prehearing conference (or discovery-related motion decided without a prehearing conference) are assessed as follows:**

  ***100*% to Claimant(s), jointly and severally**

  _____**% to Respondent(s), jointly and severally**

  _____**% assessed to** _____

  _____**% assessed to** _____

  _____**% assessed to** _____

  _____**% assessed to** _____
- ○ Not applicable

**ATTACHMENTS**

There are no attached documents.